



Client Services contact details

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Email
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Website
www.k2am.com.au

Identification form - Individuals

K2 Asian Absolute Return Fund

K2 Australian Absolute Return Fund

K2 Select International Absolute Return Fund

Please complete this form if you are a new investor with K2 or if you are a financial adviser verifying your client who is a new investor. A separate form is required for each investor in the case of joint holdings. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

If you are a new investor and do not have a financial adviser

1. Please complete the following sections of this identification form in block letters and using a black pen.
2. Section 1 – provide your personal details
Section 2 – provide FATCA information
Section 3 – indicate which ID document(s) you are including with your form
3. Make copies of your ID document(s) and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
4. Include this identification form and certified copies of your ID documents with your initial application form when you send it to us.

If you are a financial adviser verifying your client who is a new investor

1. Please complete the following sections of this identification form in block letters and using a black pen.
Section 1 – provide your client's personal details
Section 2 – provide FATCA information
Section 4 – indicate the ID documents you have verified
2. Include this identification form and certified copies of the ID document(s) (if applicable) with the initial application form when you send it to us.

Legal notices

K2 Asset Management Ltd AFSL No. 244393 is the issuer of units in the K2 Asian Absolute Return Fund, K2 Australian Absolute Return Fund and K2 Select International Absolute Return Fund (together Funds).

K2 Asset Management Ltd is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and Privacy Policy, which can be accessed on our website www.k2am.com.au.

■ Identification form - Individuals

1. Personal details

Title	Full given names
<input type="text"/>	<input type="text"/>

Surname
<input type="text"/>

Date of birth								
<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y	

Residential address. A PO Box/RMB/Locked Bag is not acceptable.

Property name/building name (if applicable)
<input type="text"/>

Unit/level	Street number	Street name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country
<input type="text"/>

Please select the source and origin of funds being invested:

Tick ✓	
<input type="checkbox"/>	Savings
<input type="checkbox"/>	Investment
<input type="checkbox"/>	Superannuation contributions
<input type="checkbox"/>	Commission
<input type="checkbox"/>	Donation/gift
<input type="checkbox"/>	Inheritance
<input type="checkbox"/>	Normal course of business
<input type="checkbox"/>	Asset sale
<input type="checkbox"/>	Other - write the source and origin of funds below

■ Identification form - Individuals

2. FATCA Information (US Foreign Account Tax Compliance Act)

Is the individual a US citizen or resident of the US for tax purposes?

Yes No

If yes, provide the individual's US Taxpayer Identification Number (TIN):

3. Verification procedure - investors

Verification if you are an Australian resident

Please enclose a certified copy of ONE of the following current documents.

Tick ✓	Select ONE option from this section
<input type="checkbox"/>	Driver's licence (both front and back).
<input type="checkbox"/>	Passport (only pages containing your photo, current date of passport and signature are required).
<input type="checkbox"/>	Any identification card issued under a state or territory law in Australia or by an overseas government which contains your photo, date of birth and signature.

Verification if you are not an Australian resident

Please enclose a certified copy of ONE of the following current documents. The certified copy of your driver's licence or passport must show your signature. If it does not, please sign your name on the certified copy of the document.

Tick ✓	Select ONE option from this section
<input type="checkbox"/>	Driver's licence (must show your photo, date of birth, address and signature).
<input type="checkbox"/>	Passport (only pages showing your photo, current date of passport, date of birth and signature are required).

4. Verification procedure - Financial advisers

Record of verification procedure

- Either:**
- Attach the certified copy of the ID document (as required under section 3) used to verify your client (and any required translation); OR
 - Complete the details below and do not attach copies of the ID document. By completing this you represent that you have verified your client's name and either their date of birth or residential address.

ID document details	Document
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document name/type	<input type="text"/>
Document issuer	<input type="text"/>
Issue date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <small>D D M M Y Y Y Y</small>



Identification form - Individuals

Expiry date	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
Document number	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted
FATCA	The FATCA information is reasonable considering the documentation provided <input type="checkbox"/>

Financial adviser details

Date verified D D M M Y Y Y Y

Authorised Representative Number (if any)

Financial adviser name

Phone number

Dealer group name

AFSL number

FATCA
The FATCA information is reasonable considering the documentation provided

